



DECLARATION AND POWER OF ATTORNEY FOR ORIGINAL U.S. PATENT APPLICATION

Attorney's Docket No. AND1P569

As a below-named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe that I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:
SYSTEM, METHOD AND ARTICLE OF MANUFACTURE FOR FORECASTING AND STRESS TESTING USING A NETWORK-BASED PERSONAL INVESTMENT MANAGER, the specification of which,

(check one)

1. ☐ is attached hereto.
2. ☒ was filed on May 25, 2000 as
U.S. Application Serial No. 09/580,273
and was amended on _____.
3. ☐ was filed on _____ as
International PCT Application Serial No. _____
and was amended on _____.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, CFR § 1.56.

I hereby claim foreign priority benefits under Title 35, United States code, § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)

_____ (Appl. No.)	_____ (Country)	_____ (Filing Date)
_____ (Appl. No.)	_____ (Country)	_____ (Filing Date)
_____ (Appl. No.)	_____ (Country)	_____ (Filing Date)

Priority Benefits Claimed?

☐ Yes ☐ No

☐ Yes ☐ No

☐ Yes ☐ No

I hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below:

_____ (Application Serial No.)	_____ (Filing Date)
_____ (Application Serial No.)	_____ (Filing Date)

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s), or § 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

(Application Serial No.)

(Filing Date)

(Status - patented, pending, abandoned)

(Application Serial No.)

(Filing Date)

(Status - patented, pending, abandoned)

Send Correspondence To:

HICKMAN STEPHENS COLEMAN & HUGHES, LLP
P.O. BOX 52037
Palo Alto, California 94303-0746

Direct Telephone Calls To:

L. Keith Stephens at telephone number (408) 558-9950

**Typewritten Full Name of
Sole or First Inventor:**

Ronald E. Sloan

Citizenship:

Canad. J.

Inventor's signature:

Date of Signature:

Aug 7, 1900

Residence: (City)

Toronto

(State/Country) Ontario/CANADA

Post Office Address:

228 Briar Hill Avenue, Toronto, Ontario, M4R 1J2, Canada

Full Name of Second Joint
Inventor (if any):

Stephen B. Slutsky

Citizenship:

CANADA

Inventor's signature:

Date of Signature:

Aug 7/00

Residence: (City)

Toronto

(State/Country) Ontario/CANADA

Post Office Address:

206 St. George Street, Penthouse B, Toronto, Ontario Canada M5R 2N6

**ASSIGNMENT RECORDATION COVER SHEET
-PATENTS ONLY-**

To: Honorable Commissioner of Patents and Trademarks:

Please record the attached original document(s) or copy thereof.



COPY

1. Name of conveying party(ies)
a) Ronald E. Sloan
b) Stephen B. Slutsky

2. Name and address of receiving party(ies):
a) Name: Andersen Consulting, LLP
Address: 1661 Page Mill Road
Palo Alto, California 94304

RECEIVED
APR 27 2001
Technology Center 2100

3. Nature of conveyance
☒ Assignment
☐ Security Agreement
☐ Other _____
☐ Merger
☐ Change of Name
☐ License Agreement

Execution Date: August 7, 2000

4. Application Number(s) or Patent Number(s): 09/580,273

The title of the (new) application is:
**SYSTEM, METHOD AND ARTICLE OF MANUFACTURE FOR FORECASTING AND STRESS
TESTING USING A NETWORK-BASED PERSONAL INVESTMENT MANAGER**

5. Please send all correspondence concerning this (these) documents to:
OPPENHEIMER WOLFF & DONNELLY, LLP
1400 Page Mill Road
Palo Alto, CA 94304
Tel. No.: (650) 320-4000
Fax No.: (650) 320-4200

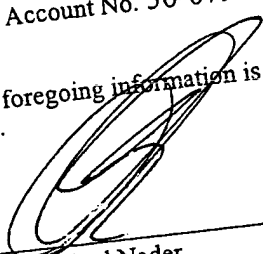
6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$40.00

☒ Enclosed
☐ Authorized to be charged to Deposit Account No. 50-0797
(Order No. 60021-301301)

8. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Date: March 23, 2001


Rambod Nader
Registration No. 47,262

Attorney Docket No. 60021-301301

ASSIGNMENT OF PATENT APPLICATION
(Not Accompanying Application)

COPY

Whereas I/we the undersigned inventor(s) have invented certain new and useful improvements as set forth in the patent application entitled:

SYSTEM, METHOD AND ARTICLE OF MANUFACTURE FOR FORECASTING AND STRESS TESTING USING A NETWORK-BASED PERSONAL INVESTMENT MANAGER

for which I (we) have executed an application for a United States Letters Patent which was filed in the U.S. Patent and Trademark Office on May 25, 2000, and which bears the Application No. 09/580,273.

For good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, I/we the undersigned inventor(s) hereby:

- 1) Sell(s), assign(s) and transfer(s) to Andersen Consulting, LLP, a Delaware corporation having a place of business at 1661 Page Mill Road, Palo Alto, California 94304, (hereinafter referred to as "ASSIGNEE"), the entire right title and interest in any and all improvements and inventions disclosed in, application(s) based upon, and Patent(s) (including foreign patents) granted upon the information which is disclosed in the above referenced application.
- 2) Authorize and request the Commissioner of Patents to issue any and all Letters Patents resulting from said application or any division(s), continuation(s), substitutes(s) or reissue(s) thereof to the ASSIGNEE.
- 3) Agree to execute all papers and documents and, entirely at the ASSIGNEE's expense, perform any acts which are reasonably necessary in connection with the prosecution of said application, as well as any derivative and applications thereof, foreign applications based thereon, and/or the enforcement of patents resulting from such applications.
- 4) Agree that the terms, covenants and conditions of this assignment shall inure to the benefit of the Assignee, its successors, assigns and other legal representative, and shall be binding upon the inventor(s), as well as the inventor's heirs, legal representatives and assigns.
- 5) Warrant and represent that I/we have not entered, and will not enter into any assignment, contract, or understanding that conflicts with this assignment.

Signed on the date(s) indicated beside my (our) signature(s).

1)

Signature: Ronald E. Sloan

Typed Name: Ronald E. Sloan

Date: Aug 7, 2000

County of Ontario ,)

) ss.

State of Canada .)

COPY

On this 7th day of August, in the year 2000, before me, Kelly Ross, personally appeared Ronald E. Sloan, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the same in his/her authorized capacity(ies), and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

(SEAL)

Signature Kelly Ross

2)

Signature: Stephen B. Slutsky

Typed Name: Stephen B. Slutsky

Date: Aug 7/00

County of ONTARIO ,)

) ss.

State of CANADA .)

On this 7th day of AUGUST, in the year 2000, before me, Kelly Ross, personally appeared Stephen B. Slutsky, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the same in his/her authorized capacity(ies), and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

(SEAL)

Signature Kelly Ross